

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Bergerson

SERIAL NO.: 10/665,498

FILING DATE: September 18, 2003

ATTY DOCKET NO.: HER-P-13-150

GROUP ART UNIT: 3731

EXAMINER: Unassigned

TITLE: "A PACIFIER, A SYSTEM AND A METHOD FOR MAINTAINING PROPER DENTITIONS"

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted.

- Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 CFR §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- After the mailing date of a first Office Action but before (1) mailing of a final action under 37 CFR §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- Payment for the fee set forth in 37 CFR §1.17(p) accompanies this submission; or
- The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)
- After the mailing of (1) a final action under 37 CFR §1.113; or (2) a notice of allowance under 37 CFR §1.311 whichever occurs first, but before payment of the issue. The certification specified in 37 CFR §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies this submission.
- The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.
- A check in the amount of \$ \_\_\_\_\_ to cover any required fee is enclosed.

- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 50-0595. *A duplicate of this sheet is enclosed for this purpose.*

(Reg. No. 35,018)

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When phoning re this  
application, please call  
(773) 772-009

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 31, 2005.

(Reg. No. 35,018)

BRIAN M. MATTSON



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

APPLICANT: BERGERSEN

ATTY. DOCKET NO.: BER-P-03-050

SERIAL NO.: 10/665,458

GROUP ART UNIT: 3731

FILING DATE: Sep. 18, 2003

EXAMINER: Unassigned

INVENTION: "A PACIFIER, A SYSTEM AND A METHOD FOR MAINTAINING PROPER DENTITIONS"

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

In accordance with the provisions of 37 CFR §1.56, Applicant requests that citation and examination of the reference identified on the attached Form PTO/SB/08A and Form PTO/SB/08B be made during the course of examination of the above-mentioned application for U.S. patent. No fee is due for submission of this statement. If, however, any fees are due and owing in view of this communication, please charge Deposit Account No. 50-0595. **A duplicate of this sheet is included for this purpose.**

I. SUBMITTED REFERENCE

A. INTERNATIONAL PRELIMINARY EXAMINING REPORT FOR CORRESPONDING INTERNATIONAL APPLICATION

<u>INTL. APPLICATION NO.</u>	<u>APPLICANT</u>	<u>MAILING DATE</u>
PCT/US03/29665	Bergersen	Jan. 7, 2005

II. REMARKS

A copy of the above-identified International Preliminary Examining Report, the form PTO/SB/08A and the form PTO/SB/08B are

submitted herewith.

In view of the foregoing, Applicant submits that all claims of the application are patentably distinguishable over the teachings of the above reference. Early consideration of the application is, therefore, requested.

Respectfully submitted,



(Reg. No. 35,018)

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ATTORNEY FOR APPLICANT

**CERTIFICATE OF MAILING**

I hereby certify that this **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, Form PTO/SB/08A, Form PTO/SB/08B and INTERNATIONAL PRELIMINARY EXAMINATION REPORT for INTERNATIONAL APPLICATION NO. PCT/US03/29665** are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 31, 2005.



Brian M. Mattson (Reg. No. 35,018)



FEB 09 2005

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB C651-0031

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Substitute for form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

**Complete if Known**

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1448.PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Application Number</b>	10/665,458
		<b>Filing Date</b>	September 18, 2003
		<b>First Named Inventor</b>	Bergersen
		<b>Art Unit</b>	3731
		<b>Examiner Name</b>	To be assigned
Sheet	2	of	2
		<b>Attorney Docket Number</b>	BER-F-03-050

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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